

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Masashi Goto et al.

Title:

DIELECTRIC FILM, ITS FORMATION METHOD,

SEMICONDUCTOR DEVICE USING THE DIELECTRIC

FILM AND ITS PRODUCTION METHOD

Application No.:

10/726,870

Filing Date:

2 December 2003

Examiner/Unit No.:

Dao H. Nguyen / 2818

Attorney Docket No.:

2204-011-03

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03/30/2007 CNGUYEN2 00000009 071897

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UNDER 37 CFR § 1.97 (as amended)

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TO THE COMMISSIONER FOR PATENTS:

In compliance with applicants and their attorney's duty of disclosure under 37 CFR § 1.56, applicants submit herewith patents, publications, or other information of which they are aware, and that may be considered material to the examination of this application.

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patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that any material information as defined in 37 CFR § 1.56(b) exists.

Because this submission falls under the provisions of 37 CFR 1.97(c), the Commissioner is hereby authorized to deduct \$180.00 from Deposit Account No. 07-1897, pursuant to 37 CFR 1.17(p).

Copies of the foreign documents and non-patent literature documents cited in the attached form PTO SB-8a and 8b are attached. Translations are not available of the Japanese Kokai patent publications.

Respectfully submitted,

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PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO				Complete if Known			
				Application Number	10/726,870		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			CLOSURE	Filing Date	2 December 2003		
			PPLICANT	First Named Inventor	Masashi Goto		
				Art Unit	2818		
	(Use as many she	ets as	necessary)	Examiner Name	Dao H. Nguyen		
Sheet	1	of	2	Attorney Docket Number	2204-011-03		

U.S. PATENT DOCUMENTS						
Examiner	Cito	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan	
Initials *	Cite No.	Number - Kind Code ² (if known)			Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document		No. of Batastan and Assistant	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		T ⁸
	B1	JP 2001-102581	04/13/2001	KOKAI		
	B2	JP 2002-208592	07/26/2002	KOKAI		
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Examiner Signature	Date Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/726,870 Filing Date 2 December 2003 First Named Inventor Masashi Goto Art Unit 2818 Examiner Name Dao H. Nguyen

(Use as many sheets as necessary)

2204-011-03 Sheet 2 of 2 Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	C1	KATSUYUKI SEKINE ET AL., "Highly Reliable Ultrathin Silicon Oxide Film Formation at Low Temperature by Oxygen Radical Generated in High-Density Krypton Plasma", IEEE Transactions on Electron Devices; August 2001, Vol. 48, No. 8		
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